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U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

09/700523

INTERNATIONAL APPLICATION NO.  
PCT/US00/10576INTERNATIONAL FILING DATE  
19 April 2000PRIORITY DATE CLAIMED  
19 April 1999TITLE OF INVENTION  
Method And Apparatus For Performing Packet Loss Or Frame Erasure ConcealmentAPPLICANT(S) FOR DO/EO/US  
AT&T Corp.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)).
4. ☐ The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(3)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19(35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

## Items 11 to 16 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A FIRST preliminary amendment.  
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☐ Other items or information:

<b>"Express Mail" Mailing Label</b>	
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I hereby certify that this application is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner of Patents and Trademarks, Washington, D.C. 20231	
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Signature of person mailing paper of fee	



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IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Inventor(s) David A. Kapilow

Docket No. 1999-0096-3(C) - TAR

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Examiner

Title Method and Apparatus for Performing Packet Loss  
Or Frame Erasure Concealment

THE COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

**PRELIMINARY AMENDMENT**  
**To Specification Filed Under 35 U.S.C. 371**

Please amend the specification as follows:

IN THE SPECIFICATION

Page 1, line 5, insert the following paragraph:

CROSS REFERENCE TO RELATED APPLICATIONS

This application is filed under 35 USC 371, based on an International Application No. PCT/US00/10576, which has a filing date of April 19, 2000, which International Application was filed claiming the benefit of a U.S. Provisional Application No. 60/130016, which was filed on April 19, 1999, and is now abandoned.

Respectfully,

David A. Kapilow

By Thomas A. Restaino

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AT&T Corp.

Date: 11/14/2000

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METHOD AND APPARATUS FOR PERFORMING  
PACKET LOSS OR FRAME ERASURE CONCEALMENT

BACKGROUND OF THE INVENTION

This non-provisional application claims the benefit of U.S. Provisional Application 60/130,016, filed April 19, 1999, the subject matter of which is incorporated herein by reference. The following documents are also incorporated by reference herein: ITU-T Recommendation G.711 – Appendix I, “A high quality low complexity algorithm for packet loss concealment with G.711” (9/99) and American National Standard for Telecommunications – Packet Loss Concealment for Use with ITU-T Recommendation G.711 (T1.521-1999).

1. Field of Invention

This invention relates techniques for performing packet loss or Frame Erasure Concealment (FEC).

2. Description of Related Art

Frame Erasure Concealment (FEC) algorithms hide transmission losses in a speech communication system where an input speech signal is encoded and packetized at a transmitter, sent over a network (of any sort), and received at a receiver that decodes the packet and plays the speech output. Many of the standard CELP-based speech coders, such as G.723.1, G.728, and G.729, have FEC algorithms built-in or proposed in their standards.

The objective of FEC is to generate a synthetic speech signal to cover missing data in a received bit-stream. Ideally, the synthesized signal will have the same timbre and spectral characteristics as the missing signal, and will not create unnatural artifacts. Since speech signals are often locally stationary, it is possible to use the signals past history to generate a reasonable approximation to the missing segment. If the erasures aren't too long, and the erasure does not land in a region where the signal is rapidly changing, the erasures may be inaudible after concealment.

Prior systems did employ pitch waveform replication techniques to conceal frame erasures, such as, for example, D. J. Goodman et al., Waveform Substitution Techniques for Recovering Missing Speech Segments in Packet Voice Communications, Vol. 34, No. 6 IEEE Trans. on Acoustics, Speech, and Signal Processing 1440 – 48 (December 1996) and O. J. Wasem et al., The Effect of Waveform Substitution on the Quality of PCM Packet Communications, Vol. 36, No 3 IEEE Transactions on Acoustics, Speech, and Signal Processing 342 - 48 (March 1988).

Although pitch waveform replication and overlap-add techniques have been used to synthesize signals to conceal lost frames of speech data, these techniques sometimes result in unnatural artifacts that are unsatisfactory to the listener.

#### SUMMARY OF THE INVENTION

The present invention is directed to a technique for reducing unnatural artifacts in speech generated by a speech decoder system which may result from application of a FEC technique. The technique relates to the generation of a speech signal by a speech decoder based on received packets representing speech information and, in response to a determination that a packet containing speech data is not available at the decoder to form the speech signal, synthesizing a portion of the speech signal corresponding to the unavailable packet using a portion of the previously formed speech signal. When the speech signal to be generated has a fundamental frequency above a determined threshold (e.g., a frequency associated with a small child), a greater number of pitch periods of the previously formed speech signal are used to synthesize speech as compared with the situation where the fundamental frequency is below the threshold (e.g., a frequency associated with an adult male).

### BRIEF DESCRIPTION OF THE DRAWINGS

The invention is described in detail with reference to the following figures, wherein like numerals reference like elements, and wherein:

Fig. 1 is an exemplary audio transmission system;

5 Fig. 2 is an exemplary audio transmission system with a G.711 coder and FEC module;

Fig. 3 illustrates an output audio signal using an FEC technique;

Fig. 4 illustrates an overlap-add (OLA) operation at the end of an erasure;

10 Fig. 5 is a flowchart of an exemplary process for performing FEC using a G.711 coder;

Fig. 6 is a graph illustrating the updating process of the history buffer;

Fig. 7 is a flowchart of an exemplary process to conceal the first frame of the signal;

Fig. 8 illustrates the pitch estimate from auto-correlation;

15 Fig. 9 illustrates fine vs. coarse pitch estimates;

Fig. 10 illustrates signals in the pitch and lastquarter buffers;

Fig. 11 illustrates synthetic signal generation using a single-period pitch buffer;

20 Fig. 12 is a flowchart of an exemplary process to conceal the second or later erased frame of the signal;

Fig. 13 illustrates synthesized signals continued into the second erased frame;

Fig. 14 illustrates synthetic signal generation using a two-period pitch buffer;

25 Fig. 15 illustrates an OLA at the start of the second erased frame;

Fig. 16 is a flowchart of an exemplary method for processing the first frame after the erasure;

Fig. 17 illustrates synthetic signal generation using a three-period pitch buffer; and

Fig. 18 is a block diagram that illustrates the use of FEC techniques with other speech coders.

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## DETAILED DESCRIPTION OF PREFERRED EMBODIMENTS

Recently there has been much interest in using G.711 on packet networks without guaranteed quality of service to support Plain-Old-Telephony Service (POTS). When frame erasures (or packet losses) occur on these networks, concealment techniques are needed or the quality of the call is seriously degraded. A high-quality, low complexity Frame Erasure Concealment (FEC) technique has been developed and is described in detail below.

An exemplary block diagram of an audio system with FEC is shown in Fig. 1. In Fig. 1, an encoder 110 receives an input audio frame and outputs a coded bit-stream. The bit-stream is received by the lost frame detector 115 which determines whether any frames have been lost. If the lost frame detector 115 determines that frames have been lost, the lost frame detector 115 signals the FEC module 130 to apply an FEC algorithm or process to reconstruct the missing frames.

Thus, the FEC process hides transmission losses in an audio system where the input signal is encoded and packetized at a transmitter, sent over a network, and received at a lost frame detector 115 that determines that a frame has been lost. It is assumed in Fig. 1 that the lost frame detector 115 has a way of determining if an expected frame does not arrive, or arrives too late to be used. On IP networks this is normally implemented by adding a sequence number or timestamp to the data in the transmitted frame. The lost frame detector 115 compares the sequence numbers of the arriving frames with the sequence numbers that would be expected if no frames were lost. If the lost frame detector 115 detects that a frame has arrived when expected, it is decoded by the decoder 120 and the output frame of audio is given to the output system. If a frame is lost, the FEC module 130 applies a process to hide the missing audio frame by generating a synthetic frame's worth of audio instead.

Many of the standard ITU-T CELP-based speech coders, such as the G.723.1, G.728, and G.729, model speech reproduction in their decoders. Thus,



the decoders have enough state information to integrate the FEC process directly in the decoder. These speech coders have FEC algorithms or processes specified as part of their standards.

G.711, by comparison, is a sample-by-sample encoding scheme that does not model speech reproduction. There is no state information in the coder to aid in the FEC. As a result, the FEC process with G.711 is independent of the coder.

An exemplary block diagram of the system as used with the G.711 coder is shown in Fig. 2. As in Fig. 1, the G.711 encoder 210 encodes and transmits the bit-stream data to the lost frame detector 215. Again, the lost frame detector 215 compares the sequence numbers of the arriving frames with the sequence numbers that would be expected if no frames were lost. If a frame arrives when expected, it is forwarded for decoding by the decoder 220 and then output to a history buffer 240, which stores the signal. If a frame is lost, the lost frame detector 215 informs the FEC module 230 which applies a process to hide the missing audio frame by generating a synthetic frame's worth of audio instead.

However, to hide the missing frames, the FEC module 230 applies a G.711 FEC process that uses the past history of the decoded output signal provided by the history buffer 240 to estimate what the signal should be in the missing frame. In addition, to insure a smooth transition between erased and non-erased frames, a delay module 250 also delays the output of the system by a predetermined time period, for example, 3.75 msec. This delay allows the synthetic erasure signal to be slowly mixed in with the real output signal at the beginning of an erasure.

The arrows between the FEC module 230 and each of the history buffer 240 and the delay module 250 blocks signify that the saved history is used by the FEC process to generate the synthetic signal. In addition, the output of the FEC module 230 is used to update the history buffer 240 during an erasure. It should be noted that, since the FEC process only depends on the decoded

output of G.711, the process will work just as well when no speech coder is present.

A graphical example of how the input signal is processed by the FEC process in FEC module 230 is shown in Fig. 3.

5        The top waveform in the figure shows the input to the system when a 20 msec erasure occurs in a region of voiced speech from a male speaker. In the waveform below it, the FEC process has concealed the missing segments by generating synthetic speech in the gap. For comparison purposes, the original input signal without an erasure is also shown. In an ideal system, the concealed speech sounds just like the original. As can be seen from the figure, the synthetic waveform closely resembles the original in the missing segments. How the "Concealed" waveform is generated from the "Input" waveform is discussed in detail below.

15        The FEC process used by the FEC module 230 conceals the missing frame by generating synthetic speech that has similar characteristics to the speech stored in the history buffer 240. The basic idea is as follows. If the signal is voiced, we assume the signal is quasi-periodic and locally stationary. We estimate the pitch and repeat the last pitch period in the history buffer 240 a few times. However, if the erasure is long or the pitch is short (the frequency is high), repeating the same pitch period too many times leads to output that is too harmonic compared with natural speech. To avoid these harmonic artifacts that are audible as beeps and bongs, the number of pitch periods used from the history buffer 240 is increased as the length of the erasure progresses. Short erasures only use the last or last few pitch periods from the history buffer 240 to generate the synthetic signal. Long erasures also use pitch periods from further back in the history buffer 240. With long erasures, the pitch periods from the history buffer 240 are not replayed in the same order that they occurred in the original speech. However, testing found that the synthetic speech signal generated in long erasures still produces a natural sound.

The longer the erasure, the more likely it is that the synthetic signal will diverge from the real signal. To avoid artifacts caused by holding certain types of sounds too long, the synthetic signal is attenuated as the erasure becomes longer. For erasures of duration 10 msec or less, no attenuation is needed. For  
 5 erasures longer than 10 msec, the synthetic signal is attenuated at the rate of 20% per additional 10 msec. Beyond 60 msec, the synthetic signal is set to zero (silence). This is because the synthetic signal is so dissimilar to the original signal that on average it does more harm than good to continue trying to conceal the missing speech after 60 msec.

10 Whenever a transition is made between signals from different sources, it is important that the transition not introduce discontinuities, audible as clicks, or unnatural artifacts into the output signal. These transitions occur in several places:

- 15 1. At the start of the erasure at the boundary between the start of the synthetic signal and the tail of last good frame.
2. At the end of the erasure at the boundary between the synthetic signal and the start of the signal in the first good frame after the erasure.
3. Whenever the number of pitch periods used from the history buffer 240 is changed to increase the signal variation.
- 20 4. At the boundaries between the repeated portions of the history buffer 240.

To insure smooth transitions, Overlap Adds (OLA) are performed at all signal boundaries. OLAs are a way of smoothly combining two signals that overlap at one edge. In the region where the signals overlap, the signals are  
 25 weighted by windows and then added (mixed) together. The windows are designed so the sum of the weights at any particular sample is equal to 1. That is, no gain or attenuation is applied to the overall sum of the signals. In addition, the windows are designed so the signal on the left starts out at weight 1 and gradually fades out to 0, while the signal on the right starts out at weight 0 and

gradually fades in to weight 1. Thus, in the region to the left of the overlap window, only the left signal is present while in the region to the right of the overlap window, only the right signal is present. In the overlap region, the signal gradually makes a transition from the signal on left to that on the right. In the  
 5 FEC process, triangular windows are used to keep the complexity of calculating the variable length windows low, but other windows, such as Hanning windows, can be used instead.

Fig. 4 shows the synthetic speech at the end of a 20-msec erasure being OLAed with the real speech that starts after the erasure is over. In this example,  
 10 the OLA weighting window is a 5.75 msec triangular window. The top signal is the synthetic signal generated during the erasure, and the overlapping signal under it is the real speech after the erasure. The OLA weighting windows are shown below the signals. Here, due to a pitch change in the real signal during the erasure, the peaks of the synthetic and real signals do not match up, and the  
 15 discontinuity introduced if we attempt to combine the signals without an OLA is shown in the graph labeled "Combined Without OLA". The "Combined Without OLA" graph was created by copying the synthetic signal up until the start of the OLA window, and the real signal for the duration. The result of the OLA operations shows how the discontinuities at the boundaries are smoothed.

20 The previous discussion concerns how an illustrative process works with stationary voiced speech, but if the speech is rapidly changing or unvoiced, the speech may not have a periodic structure. However, these signals are processed the same way, as set forth below.

First, the smallest pitch period we allow in the illustrative embodiment in  
 25 the pitch estimate is 5 msec, corresponding to frequency of 200 Hz. While it is known that some high-frequency female and child speakers have fundamental frequencies above 200 Hz, we limit it to 200 Hz so the windows stay relatively large. This way, within a 10 msec erased frame the selected pitch period is repeated a maximum of twice. With high-frequency speakers, this doesn't really

degrade the output, since the pitch estimator returns a multiple of the real pitch period. And by not repeating any speech too often, the process does not create synthetic periodic speech out of non-periodic speech. Second, because the number of pitch periods used to generate the synthetic speech is increased as the erasure gets longer, enough variation is added to the signal that periodicity is not introduced for long erasures.

It should be noted that the Waveform Similarity Overlap Add (WSOLA) process for time scaling of speech also uses large fixed-size OLA windows so the same process can be used to time-scale both periodic and non-periodic speech signals.

While an overview of the illustrative FEC process was given above, the individual steps will be discussed in detail below.

For the purpose of this discussion, we will assume that a frame contains 10 msec of speech and the sampling rate is 8 kHz, for example. Thus, erasures can occur in increments of 80 samples ( $8000 * .010 = 80$ ). It should be noted that the FEC process is easily adaptable to other frame sizes and sampling rates. To change the sampling rate, just multiply the time periods given in msec by .001, and then by the sampling rate to get the appropriate buffer sizes. For example, the history buffer 240 contains the last 48.75 msec of speech. At 8 kHz this would imply the buffer is  $(48.75 * .001 * 8000) = 390$  samples long. At 16 kHz sampling, it would be double that, or 780 samples.

Several of the buffer sizes are based on the lowest frequency the process expects to see. For example, the illustrative process assumes that the lowest frequency that will be seen at 8 kHz sampling is  $66 \frac{2}{3}$  Hz. That leads to a maximum pitch period of 15 msec ( $1/(66 \frac{2}{3}) = .015$ ). The length of the history buffer 240 is 3.25 times the period of the lowest frequency. So the history buffer 240 is thus  $15 * 3.25 = 48.75$  msec. If at 16 kHz sampling the input filters allow frequencies as low as 50 Hz (20 msec period), the history buffer 240 would have to be lengthened to  $20 * 3.25 = 65$  msec.

The frame size can also be changed; 10 msec was chosen as the default since it is the frame size used by several standard speech coders, such as G.729, and is also used in several wireless systems. Changing the frame size is straightforward. If the desired frame size is a multiple of 10 msec, the process remains unchanged. Simply leave the erasure process' frame size at 10 msec and call it multiple times per frame. If the desired packet frame size is a divisor of 10 msec, such as 5 msec, the FEC process basically remains unchanged. However, the rate at which the number of periods in the pitch buffer is increased will have to be modified based on the number of frames in 10 msec. Frame sizes that are not multiples or divisors of 10 msec, such as 12 msec, can also be accommodated. The FEC process is reasonably forgiving in changing the rate of increase in the number of pitch periods used from the pitch buffer. Increasing the number of periods once every 12 msec rather than once every 10 msec will not make much of a difference.

Fig. 5 is a block diagram of the FEC process performed by the illustrative embodiment of Fig. 2. The sub-steps needed to implement some of the major operations are further detailed in Figs. 7, 12, and 16, and discussed below. In the following discussion several variables are used to hold values and buffers. These variables are summarized below:

Table 1. Variables and Their Contents

Variable	Type	Description	Comment
B	Array	Pitch Buffer	Range[ -P*3.25:-1]
H	Array	History Buffer	Range[-390:-1]
L	Array	Last ¼ Buffer	Range[-P*.25:-1]
O	Scalar	Offset in Pitch Buffer	
P	Scalar	Pitch Estimate	$40 \leq P < 120$
P4	Scalar	¼ Pitch Estimate	$P4 = P \gg 2$
S	Array	Synthesized Speech	Range[0:79]
U	Scalar	Used Wavelengths	$1 \leq U \leq 3$

As shown in the flowchart in Fig. 5, the process begins and at step 505, the next frame is received by the lost frame detector 215. In step 510, the lost frame detector 215 determines whether the frame is erased. If the frame is not erased, in step 512 the frame is decoded by the decoder 220. Then, in step 515, the decoded frame is saved in the history buffer 240 for use by the FEC module 230.

In the history buffer updating step, the length of this buffer 240 is 3.25 times the length of the longest pitch period expected. At 8 KHz sampling, the longest pitch period is 15 msec, or 120 samples, so the length of the history buffer 240 is 48.75 msec, or 390 samples. Therefore, after each frame is decoded by the decoder 220, the history buffer 240 is updated so it contains the most recent speech history. The updating of the history buffer 240 is shown in Fig. 6. As shown in this Fig., the history buffer 240 contains the most recent speech samples on the right and the oldest speech samples on the left. When the newest frame of the decoded speech is received, it is shifted into the buffer 240 from the right, with the samples corresponding to the oldest speech shifted out of the buffer on the left (see 6b).

In addition, in step 520 the delay module 250 delays the output of the speech by  $\frac{1}{4}$  of the longest pitch period. At 8 KHz sampling, this is  $120 * \frac{1}{4} = 30$  samples, or 3.75 msec. This delay allows the FEC module 230 to perform a  $\frac{1}{4}$  wavelength OLA at the beginning of an erasure to insure a smooth transition between the real signal before the erasure and the synthetic signal created by the FEC module 230. The output must be delayed because after decoding a frame, it is not known whether the next frame is erased.

In step 525, the audio is output and, at step 530, the process determines if there are any more frames. If there are no more frames, the process ends. If there are more frames, the process goes back to step 505 to get the next frame.

However, if in step 510 the lost frame detector 215 determines that the received frame is erased, the process goes to step 535 where the FEC module

230 conceals the first erased frame, the process of which is described in detail below in Fig. 7. After the first frame is concealed, in step 540, the lost frame detector 215 gets the next frame. In step 545, the lost frame detector 215 determines whether the next frame is erased. If the next frame is not erased, in the step 555, the FEC module 230 processes the first frame after the erasure, the process of which is described in detail below in Fig. 16. After the first frame is processed, the process returns to step 530, where the lost frame detector 215 determines whether there are any more frames.

If, in step 545, the lost frame detector 215 determines that the next or subsequent frames are erased, the FEC module 230 conceals the second and subsequent frames according to a process which is described in detail below in Fig. 12.

Fig. 7 details the steps that are taken to conceal the first 10 msecs of an erasure. The steps are examined in detail below.

As can be seen in Fig. 7, in step 705, the first operation at the start of an erasure is to estimate the pitch. To do this, a normalized auto-correlation is performed on the history buffer 240 signal with a 20 msec (160 sample) window at tap delays from 40 to 120 samples. At 8 KHz sampling these delays correspond to pitch periods of 5 to 15 msec, or fundamental frequencies from 200 to 66 2/3 Hz. The tap at the peak of the auto-correlation is the pitch estimate P. Assuming H contains this history, and is indexed from -1 (the sample right before the erasure) to -390 (the sample 390 samples before the erasure begins), the auto correlation for tap j can be expressed mathematically as:

$$Autocor(j) = \frac{\sum_{i=1}^{160} H[-i]H[-i-j]}{\sqrt{\sum_{k=1}^{160} H^2[-k-j]}}$$



The peak of the auto-correlation, or the pitch estimate, can than be expressed as:

$$P = \{\max_j (Autocor(j)) \mid 40 \leq j \leq 120\}$$

5

As mentioned above, the lowest pitch period allowed, 5 msec or 40 samples, is large enough that a single pitch period is repeated a maximum of twice in a 10 msec erased frame. This avoids artifacts in non-voiced speech, and also avoids unnatural harmonic artifacts in high-pitched speakers.

10

A graphical example of the calculation of the normalized auto-correlation for the erasure in Fig. 3 is shown in Fig. 8.

15

The waveform labeled "History" is the contents of the history buffer 240 just before the erasure. The dashed horizontal line shows the reference part of the signal, the history buffer 240  $H[-1]:H[-160]$ , which is the 20 msec of speech just before the erasure. The solid horizontal lines are the 20 msec windows delayed at taps from 40 samples (the top line, 5 msec period, 200 Hz frequency) to 120 samples (the bottom line, 15 msec period, 66.66 Hz frequency). The output of the correlation is also plotted aligned with the locations of the windows. The dotted vertical line in the correlation is the peak of the curve and represents the estimated pitch. This line is one period back from the start of the erasure. In this case,  $P$  is equal to 56 samples, corresponding to a pitch period of 7 msec, and a fundamental frequency of 142.9 Hz.

20

25

To lower the complexity of the auto-correlation, two special procedures are used. While these shortcuts don't significantly change the output, they have a big impact on the process' overall run-time complexity. Most of the complexity in the FEC process resides in the auto-correlation.

First, rather than computing the correlation at every tap, a rough estimate of the peak is first determined on a decimated signal, and then a fine search is performed in the vicinity of the rough peak. For the rough estimate we modify

the Autocor function above to the new function that works on a 2:1 decimated signal and only examines every other tap:

$$Autocor_{rough}(j) = \frac{\sum_{i=1}^{80} H[-2i]H[-2i-j]}{\sqrt{\sum_{k=1}^{80} H^2[-2k-j]}}$$

5

$$P_{rough} = 2\{\max_j (Autocor_{rough}(2j)) \mid 20 \leq j \leq 60\}$$

Then using the rough estimate, the original search process is repeated, but only in the range  $P_{rough} - 1 \leq j \leq P_{rough} + 1$ . Care is taken to insure  $j$  stays in the original range between 40 and 120 samples. Note that if the sampling rate is increased, the decimation factor should also be increased, so the overall complexity of the process remains approximately constant. We have performed tests with decimation factors of 8:1 on speech sampled at 44.1 KHz and obtained good results. Fig. 9 compares the graph of the  $Autocor_{rough}$  with that of Autocor. As can be seen in the figure,  $Autocor_{rough}$  is a good approximation to Autocor and the complexity decreases by almost a factor of 4 at 8 KHz sampling—a factor of 2 because only every other tap is examined and a factor of 2 because, at a given tap, only every other sample is examined.

The second procedure is performed to lower the complexity of the energy calculation in Autocor and  $Autocor_{rough}$ . Rather than computing the full sum at each step, a running sum of the energy is maintained. That is, let:

$$Energy(j) = \sum_{k=1}^{160} H^2[-k-j]$$

then:

$$Energy(j+1) = \sum_{k=1}^{160} H^2[-k-j-1] = Energy(j) + H^2[-j-161] - H^2[-j-1]$$

25

So only 2 multiples and 2 adds are needed to update the energy term at each step of the FEC process after the first energy term is calculated.

Now that we have the pitch estimate,  $P$ , the waveform begins to be generated during the erasure. Returning to the flowchart in Fig. 7, in step 710, the most recent 3.25 wavelengths ( $3.25 * P$  samples) are copied from the history buffer 240,  $H$ , to the pitch buffer,  $B$ . The contents of the pitch buffer, with the exception of the most recent  $\frac{1}{4}$  wavelength, remain constant for the duration of the erasure. The history buffer 240, on the other hand, continues to get updated during the erasure with the synthetic speech.

In step 715, the most recent  $\frac{1}{4}$  wavelength ( $.25 * P$  samples) from the history buffer 240 is saved in the last quarter buffer,  $L$ . This  $\frac{1}{4}$  wavelength is needed for several of the OLA operations. For convenience, we will use the same negative indexing scheme to access the  $B$  and  $L$  buffers as we did for the history buffer 240.  $B[-1]$  is last sample before the erasure arrives,  $B[-2]$  is the sample before that, etc. The synthetic speech will be placed in the synthetic buffer  $S$ , that is indexed from 0 on up. So  $S[0]$  is the first synthesized sample,  $S[1]$  is the second, etc.

The contents of the pitch buffer,  $B$ , and the last quarter buffer,  $L$ , for the erasure in Fig. 3 are shown in Fig. 10. In the previous section, we calculated the period,  $P$ , to be 56 samples. The pitch buffer is thus  $3.25 * 56 = 182$  sample long. The last quarter buffer is  $.25 * 56 = 14$  samples long. In the figure, vertical lines have been placed every  $P$  samples back from the start of the erasure.

During the first 10 msec of an erasure, only the last pitch period from the pitch buffer is used, so in step 720,  $U=1$ . If the speech signal was truly periodic and our pitch estimate wasn't an estimate, but the exact true value, we could just copy the waveform directly from the pitch buffer,  $B$ , to the synthetic buffer,  $S$ , and the synthetic signal would be smooth and continuous. That is,  $S[0]=B[-P]$ ,  $S[1]=B[-P+1]$ , etc. If the pitch is shorter than the 10 msec frame, that is  $P < 80$ , the single pitch period is repeated more than once in the erased frame. In our

example  $P = 56$  so the copying rolls over at  $S[56]$ . The sample-by-sample copying sequence near sample 56 would be:  $S[54]=B[-2]$ ,  $S[55]=B[-1]$ ,  $S[56]=B[-56]$ ,  $S[57]=B[-55]$ , etc.

In practice the pitch estimate is not exact and the signal may not be truly periodic. To avoid discontinuities (a) at the boundary between the real and synthetic signal, and (b) at the boundary where the period is repeated, OLAs are required. For both boundaries we desire a smooth transition from the end of the real speech,  $B[-1]$ , to the speech one period back,  $B[-P]$ . Therefore, in step 725, this can be accomplished by overlap adding (OLA) the  $\frac{1}{4}$  wavelength before  $B[-P]$  with the last  $\frac{1}{4}$  wavelength of the history buffer 240, or the contents of L. Graphically, this is equivalent to taking the last  $1\frac{1}{4}$  wavelengths in the pitch buffer, shifting it right one wavelength, and doing an OLA in the  $\frac{1}{4}$  wavelength overlapping region. In step 730, the result of the OLA is copied to the last  $\frac{1}{4}$  wavelength in the history buffer 240. To generate additional periods of the synthetic waveform, the pitch buffer is shifted additional wavelengths and additional OLAs are performed.

Fig. 11 shows the OLA operation for the first 2 iterations. In this figure the vertical line that crosses all the waveforms is the beginning of the erasure. The short vertical lines are pitch markers and are placed  $P$  samples from the erasure boundary. It should be observed that the overlapping region between the waveforms "Pitch Buffer" and "Shifted right by  $P$ " correspond to exactly the same samples as those in the overlapping region between "Shifted right by  $P$ " and "Shifted right by  $2P$ ". Therefore, the  $\frac{1}{4}$  wavelength OLA only needs to be computed once.

In step 735, by computing the OLA first and placing the results in the last  $\frac{1}{4}$  wavelength of the pitch buffer, the process for a truly periodic signal generating the synthetic waveform can be used. Starting at sample  $B(-P)$ , simply copy the samples from the pitch buffer to the synthetic buffer, rolling the pitch buffer pointer back to the start of the pitch period if the end of the pitch buffer is

reached. Using this technique, a synthetic waveform of any duration can be generated. The pitch period to the left of the erasure start in the “Combined with OLAs” waveform of Fig. 11 corresponds to the updated contents of the pitch buffer.

5        The “Combined with OLAs” waveform demonstrates that the single period pitch buffer generates a periodic signal with period  $P$ , without discontinuities. This synthetic speech, generated from a single wavelength in the history buffer 240, is used to conceal the first 10 msec of an erasure. The effect of the OLA can be viewed by comparing the  $\frac{1}{4}$  wavelength just before the erasure begins in  
10      the “Pitch Buffer” and “Combined with OLAs” waveforms. In step 730, this  $\frac{1}{4}$  wavelength in the “Combined with OLAs” waveform also replaces the last  $\frac{1}{4}$  wavelength in the history buffer 240.

15        The OLA operation with triangular windows can also be expressed mathematically. First we define the variable  $P4$  to be  $\frac{1}{4}$  of the pitch period in samples. Thus,  $P4 = P \gg 2$ . In our example,  $P$  was 56, so  $P4$  is 14. The OLA operation can then be expressed on the range  $1 \leq i \leq P4$  as:

$$B[-i] = \frac{i}{P4} L[-i] + \left( \frac{P4 - i}{P4} \right) B[-i - P]$$

20        The result of the OLA replaces both the last  $\frac{1}{4}$  wavelengths in the history buffer 240 and the pitch buffer. By replacing the history buffer 240, the  $\frac{1}{4}$  wavelength OLA transition will be output when the history buffer 240 is updated, since the history buffer 240 also delays the output by 3.75 msec. The output waveform during the first 10 msec of the erasure can be viewed in the region  
25      between the first two dotted lines in the “Concealed” waveform of Fig. 3.

      In step 740, at the end of generating the synthetic speech for the frame, the current offset is saved into the pitch buffer as the variable  $O$ . This offset allows the synthetic waveform to be continued into the next frame for an OLA with the next frame’s real or synthetic signal.  $O$  also allows the proper synthetic

signal phase to be maintained if the erasure extends beyond 10 msec. In our example with 80 sample frames and  $P=56$ , at the start of the erasure the offset is  $-56$ . After 56 samples, it rolls back to  $-56$ . After an additional  $80-56=24$  samples, the offset is  $-56+24=-32$ , so  $O$  is  $-32$  at the end of the first frame.

5           In step 745, after the synthesis buffer has been filled in from  $S[0]$  to  $S[79]$ ,  $S$  is used to update the history buffer 240. In step 750, the history buffer 240 also adds the 3.75 msec delay. The handling of the history buffer 240 is the same during erased and non-erased frames. At this point, the first frame concealing operation in step 535 of Fig. 5 ends and the process proceeds to step 10       540 in FIG. 5.

          The details of how the FEC module 230 operates to conceal later frames beyond 10 msec, as shown in step 550 of Fig. 5, is shown in detail in Fig. 12. The technique used to generate the synthetic signal during the second and later erased frames is quite similar to the first erased frame, although some additional 15       work needs to be done to add some variation to the signal.

          In step 1205, the erasure code determines whether the second or third frame is being erased. During the second and third erased frames, the number of pitch periods used from the pitch buffer is increased. This introduces more variation in the signal and keeps the synthesized output from sounding too 20       harmonic. As with all other transitions, an OLA is needed to smooth the boundary when the number of pitch periods is increased. Beyond the third frame (30 msec of erasure) the pitch buffer is kept constant at a length of 3 wavelengths. These 3 wavelengths generate all the synthetic speech for the duration of the erasure. Thus, the branch on the left of Fig. 12 is only taken on 25       the second and third erased frames.

          Next, in step 1210, we increase the number of wavelengths used in the pitch buffer. That is, we set  $U=U+1$ .

          At the start of the second or third erased frame, in step 1215 the synthetic signal from the previous frame is continued for an additional  $\frac{1}{4}$  wavelength into

the start of the current frame. For example, at the start of the second frame the synthesized signal in our example appears as shown in Fig. 13. This  $\frac{1}{4}$  wavelength will be overlap added with the new synthetic signal that uses older wavelengths from the pitch buffer.

5        At the start of the second erased frame, the number of wavelengths is increased to 2,  $U=2$ . Like the one wavelength pitch buffer, an OLA must be performed at the boundary where the 2-wavelength pitch buffer may repeat itself. This time the  $\frac{1}{4}$  wavelength ending  $U$  wavelengths back from the tail of the pitch buffer,  $B$ , is overlap added with the contents of the last quarter buffer,  $L$ , in step 10        1220. This OLA operator can be expressed on the range  $1 \leq i \leq P4$  as:

$$B[-i] = \frac{i}{P4} L[-i] + \left( \frac{P4-i}{P4} \right) B[-i - PU]$$

15        The only difference from the previous version of this equation is that the constant  $P$  used to index  $B$  on the right side has been transformed into  $PU$ . The creation of the two-wavelength pitch buffer is shown graphically in Fig. 14.

20        As in Fig. 11 the region of the “Combined with OLAs” waveform to the left of the erasure start is the updated contents of the two-period pitch buffer. The short vertical lines mark the pitch period. Close examination of the consecutive peaks in the “Combined with OLAs” waveform shows that the peaks alternate from the peaks one and two wavelengths back before the start of the erasure.

25        At the beginning of the synthetic output in the second frame, we must merge the signal from the new pitch buffer with the  $\frac{1}{4}$  wavelength generated in Fig. 13. We desire that the synthetic signal from the new pitch buffer should come from the oldest portion of the buffer in use. But we must be careful that the new part comes from a similar portion of the waveform, or when we mix them, audible artifacts will be created. In other words, we want to maintain the correct phase or the waveforms may destructively interfere when we mix them.

This is accomplished in step 1225 (Fig. 12) by subtracting periods,  $P$ , from the offset saved at the end of the previous frame,  $O$ , until it points to the oldest wavelength in the used portion of the pitch buffer.

For example, in the first erased frame, the valid index for the pitch buffer,  $B$ , was from  $-1$  to  $-P$ . So the saved  $O$  from the first erased frame must be in this range. In the second erased frame, the valid range is from  $-1$  to  $-2P$ . So we subtract  $P$  from  $O$  until  $O$  is in the range  $-2P \leq O < -P$ . Or to be more general, we subtract  $P$  from  $O$  until it is in the range  $-UP \leq O < -(U-1)P$ . In our example,  $P = 56$  and  $O = -32$  at end of the first erased frame. We subtract 56 from  $-32$  to yield  $-88$ . Thus, the first synthesis sample in the second frame comes from  $B[-88]$ , the next from  $B[-87]$ , etc.

The OLA mixing of the synthetic signals from the one- and two-period pitch buffers at the start of the second erased frame is shown in Fig. 15.

It should be noted that by subtracting  $P$  from  $O$ , the proper waveform phase is maintained and the peaks of the signal in the "1P Pitch Buffer" and "2P Pitch Buffer" waveforms are aligned. The "OLA Combined" waveform also shows a smooth transition between the different pitch buffers at the start of the second erased frame. One more operation is required before the second frame in the "OLA Combined" waveform of Fig. 15 can be output.

In step 1230 (Fig. 12), the new offset is used to copy  $\frac{1}{4}$  wavelength from the pitch buffer into a temporary buffer. In step 1235,  $\frac{1}{4}$  wavelength is added to the offset. Then, in step 1240, the temporary buffer is OLA'd with the start of the output buffer, and the result is placed in the first  $\frac{1}{4}$  wavelength of the output buffer.

In step 1245, the offset is then used to generate the rest of the signal in the output buffer. The pitch buffer is copied to the output buffer for the duration of the 10 msec frame. In step 1250, the current offset is saved into the pitch buffer as the variable  $O$ .



During the second and later erased frames, the synthetic signal is attenuated in step 1255, with a linear ramp. The synthetic signal is gradually faded out until beyond 60 msec it is set to 0, or silence. As the erasure gets longer, the concealed speech is more likely to diverge from the true signal.

5 Holding certain types of sounds for too long, even if the sound sounds natural in isolation for a short period of time, can lead to unnatural audible artifacts in the output of the concealment process. To avoid these artifacts in the synthetic signal, a slow fade out is used. A similar operation is performed in the concealment processes found in all the standard speech coders, such as  
10 G.723.1, G.728, and G.729.

The FEC process attenuates the signal at 20% per 10 msec frame, starting at the second frame. If  $S$ , the synthesis buffer, contains the synthetic signal before attenuation and  $F$  is the number of consecutive erased frames ( $F = 1$  for the first erased frame, 2 for the second erased frame) then the attenuation  
15 can be expressed as:

$$S'[i] = [1 - .2(F - 2) - \frac{.2i}{80}]S[i]$$

In the range  $0 \leq i \leq 79$  and  $2 \leq F \leq 6$ . For example, at the samples at the start of the second erased frame  $F=2$ , so  $F-2=0$  and  $.2/80=.0025$ , so  $S'[0] = 1.S[0]$ ,  $S'[1] = 0.9975S[1]$ ,  $S'[2] = 0.995S[2]$ , and  $S'[79] = 0.8025S[79]$ .

20 Beyond the sixth erased frame, the output is simply set to 0.

After the synthetic signal is attenuated in step 1255, it is given to the history buffer 240 in step 1260 and the output is delayed, in step 1265, by 3.75 msec. The offset pointer  $O$  is also updated to its location in the pitch buffer at the end of the second frame so the synthetic signal can be continued in the next  
25 frame. The process then goes back to step 540 to get the next frame.

If the erasure lasts beyond two frames, the processing on the third frame is exactly as in the second frame except the number of periods in the pitch buffer is increased from 2 to 3, instead of from 1 to 2. While our example erasure ends at two frames, the three-period pitch buffer that would be used on the third frame

and beyond is shown in Fig. 17. Beyond the third frame, the number of periods in the pitch buffer remains fixed at three, so only the path on right side of Fig. 12 is taken. In this case, the offset pointer O is simply used to copy the pitch buffer to the synthetic output and no overlap add operations are needed.

5       The operation of the FEC module 230 at the first good frame after an erasure is detailed in Fig. 16. At the end of an erasure, a smooth transition is needed between the synthetic speech generated during the erasure and the real speech. If the erasure was only one frame long, in step 1610, the synthetic speech for  $\frac{1}{4}$  wavelength is continued and an overlap add with the real speech is performed.

10       If the FEC module 230 determines that the erasure was longer than 10 msec in step 1620, mismatches between the synthetic and real signals are more likely, so in step 1630, the synthetic speech generation is continued and the OLA window is increased by an additional 4 msec per erased frame, up to a maximum of 10 msec. If the estimate of the pitch was off slightly, or the pitch of real speech changed during the erasure, the likelihood of a phase mismatch between the synthetic and real signals increases with the length of the erasure. Longer OLA windows force the synthetic signal to fade out and the real speech signal to fade in more slowly. If the erasure was longer than 10 msec, it is also necessary

15       to attenuate the synthetic speech, in step 1640, before an OLA can be performed, so it matches the level of the signal in the previous frame.

20       In step 1650, an OLA is performed on the contents of the output buffer (synthetic speech) with the start of the new input frame. The start of the input buffer is replaced with the result of the OLA. The OLA at the end of the erasure

25       for the example above can be viewed in Fig. 4. The complete output of the concealment process for the above example can be viewed in the "Concealed" waveform of Fig. 3.

In step 1660, the history buffer is updated with the contents of the input buffer. In step 1670, the output of the speech is delayed by 3.75 msec and the process returns to step 530 in Fig. 5 to get the next frame.

With a small adjustment, the FEC process may be applied to other speech  
 5 coders that maintain state information between samples or frames and do not  
 provide concealment, such as G.726. The FEC process is used exactly as  
 described in the previous section to generate the synthetic waveform during the  
 erasure. However, care must be taken to insure the coder's internal state  
 variables track the synthetic speech generated by the FEC process. Otherwise,  
 10 after the erasure is over, artifacts and discontinuities will appear in the output as  
 the decoder restarts using its erroneous state. While the OLA window at the end  
 of an erasure helps, more must be done.

Better results can be obtained as shown in FIG. 18, by converting the  
 decoder 1820 into an encoder 1860 for the duration of the erasure, using the  
 15 synthesized output of the FEC module 1830 as the encoder's 1860 input.

This way the decoder 1820's variables state will track the concealed  
 speech. It should be noted that unlike a typical encoder, the encoder 1860 is  
 only run to maintain state information and its output is not used. Thus, shortcuts  
 may be taken to significantly lower its run-time complexity.

As stated above, there are many advantages and aspects provided by the  
 20 invention. In particular, as a frame erasure progresses, the number of pitch  
 periods used from the signal history to generate the synthetic signal is increased  
 as a function of time. This significantly reduces harmonic artifacts on long  
 erasures. Even though the pitch periods are not played back in their original  
 25 order, the output still sounds natural.

With G.726 and other coders that maintain state information between  
 samples or frames, the decoder may be run as an encoder on the output of the  
 concealment process' synthesized output. In this way, the decoder's internal  
 state variables will track the output, avoiding—or at least decreasing—discon-

tinuities caused by erroneous state information in the decoder after the erasure is over. Since the output from the encoder is never used (its only purpose is to maintain state information), a stripped-down low complexity version of the encoder may be used.

5           The minimum pitch period allowed in the exemplary embodiments (40 samples, or 200 Hz) is larger than what we expect the fundamental frequency to be for some female and children speakers. Thus, for high frequency speakers, more than one pitch period is used to generate the synthetic speech, even at the start of the erasure. With high fundamental frequency speakers, the waveforms  
10           are repeated more often. The multiple pitch periods in the synthetic signal make harmonic artifacts less likely. This technique also helps keep the signal natural sounding during un-voiced segments of speech, as well as in regions of rapid transition, such as a stop.

          The OLA window at the end of the first good frame after an erasure grows  
15           with the length of the erasure. With longer erasures, phase matches are more likely to occur when the next good frame arrives. Stretching the OLA window as a function of the erasure length reduces glitches caused by phase mismatches on long erasure, but still allows the signal to recover quickly if the erasure is short.

20           The FEC process of the invention also uses variable length OLA windows that are a small fraction of the estimated pitch that are  $1/4$  wavelength and are not aligned with the pitch peaks.

          The FEC process of the invention does not distinguish between voiced and un-voiced speech. Instead it performs well in reproducing un-voiced speech  
25           because of two attributes of the process: (A) The minimum window size is reasonably large so even un-voiced regions of speech have reasonable variation, and (B) The length of the pitch buffer is increased as the process progresses, again insuring harmonic artifacts are not introduced. It should be

noted that using large windows to avoid handling voiced and unvoiced speech differently is also present in the well-known time-scaling technique WSOLA.

While the adding of the delay of allowing the OLA at the start of an erasure may be considered as an undesirable aspect of the process of the invention, it is necessary to insure a smooth transition between real and synthetic signals at the start of the erasure.

While this invention has been described in conjunction with the specific embodiments outlined above, it is evident that many alternatives, modifications and variations will be apparent to those skilled in the art. Accordingly, the preferred embodiments of the invention as set forth above are intended to be illustrative, not limiting. Various changes may be made without departing from the spirit and scope of the invention as defined in the following claims.

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WHAT IS CLAIMED IS:

1. A method for concealing the effect of missing speech information on generated speech, said speech information having been compressed and transmitted in packets to a receiver which does not receive one or more of such packets, the method comprising the steps of:

forming a speech signal based on received packets representing speech information;

10

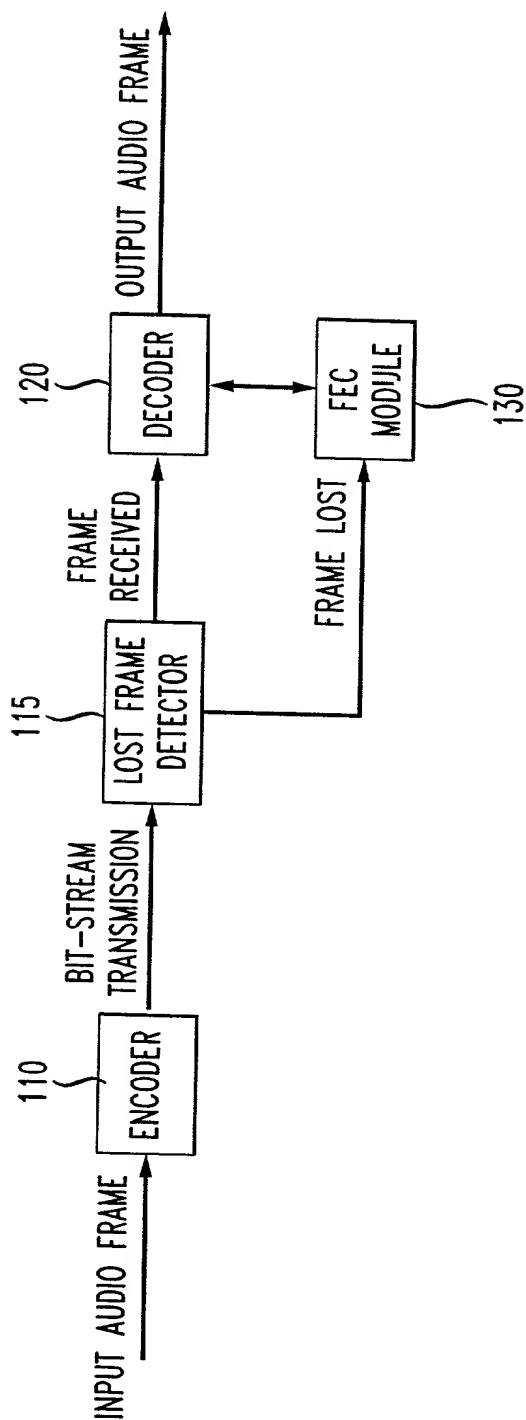
in response to a determination that a packet is not available at the receiver to form the speech signal, synthesizing a portion of the speech signal corresponding to the unavailable packet using a portion of the previously formed speech signal, wherein the number of pitch periods of the previously formed portion used in such synthesis is greater for speech of a fundamental frequency above a threshold than for speech of a fundamental frequency below the threshold.

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The invention concerns a method and apparatus for performing packet loss or Frame Erasure Concealment (FEC) for a speech coder that does not have a built-in or standard FEC process. A receiver with a decoder receives encoded frames of compressed speech information transmitted from an encoder. A lost frame detector at the receiver determines if an encoded frame has been lost or corrupted in transmission, or erased. If the encoded frame is not erased, the encoded frame is decoded by a decoder and a temporary memory is updated with the decoder's output. A predetermined delay period is applied and the audio frame is then output. If the lost frame detector determines that the encoded frame is erased, a FEC module applies a frame concealment process to the signal. The FEC processing produces natural sounding synthetic speech for the erased frames.

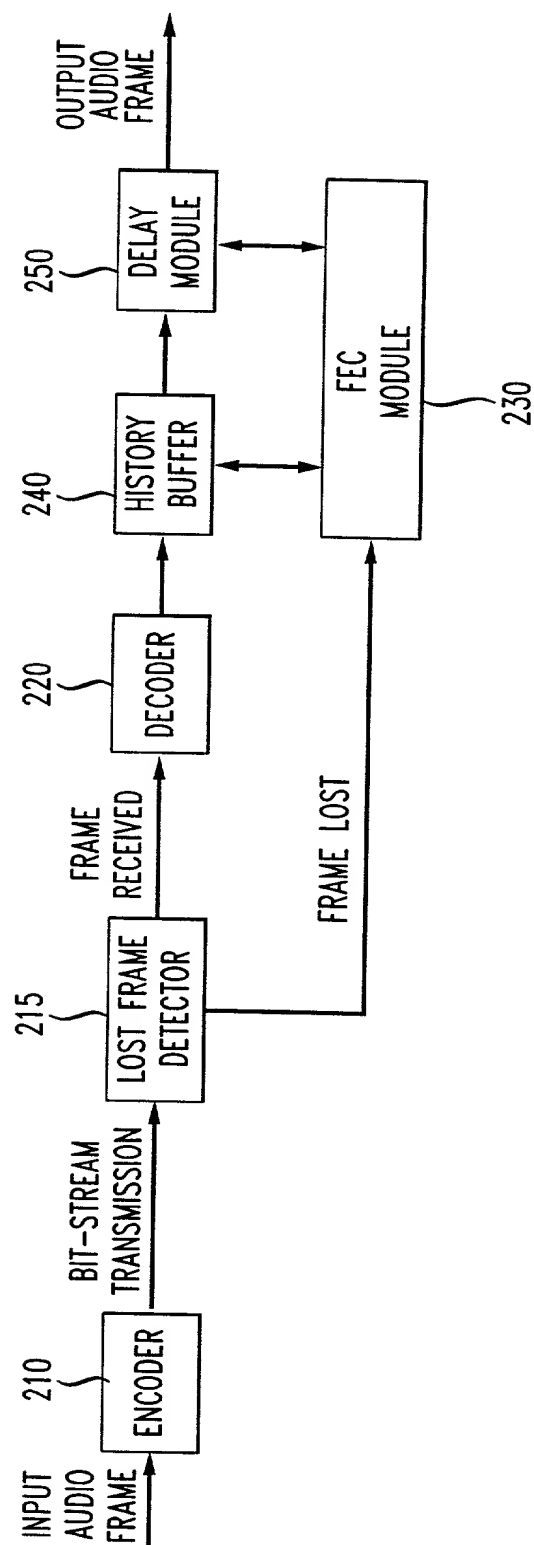
FIG. 1





2/14

FIG. 2



3/14

FIG. 3

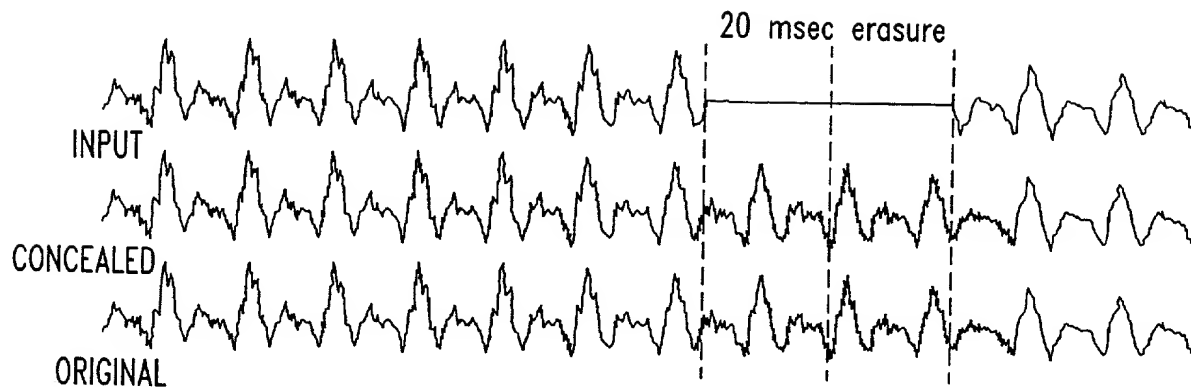
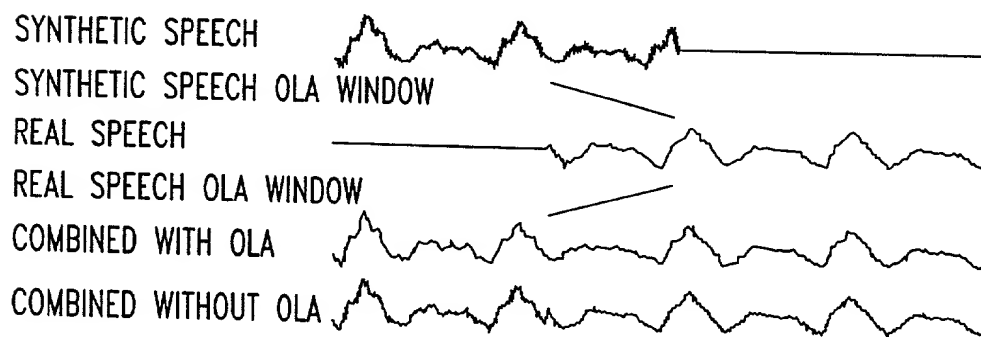
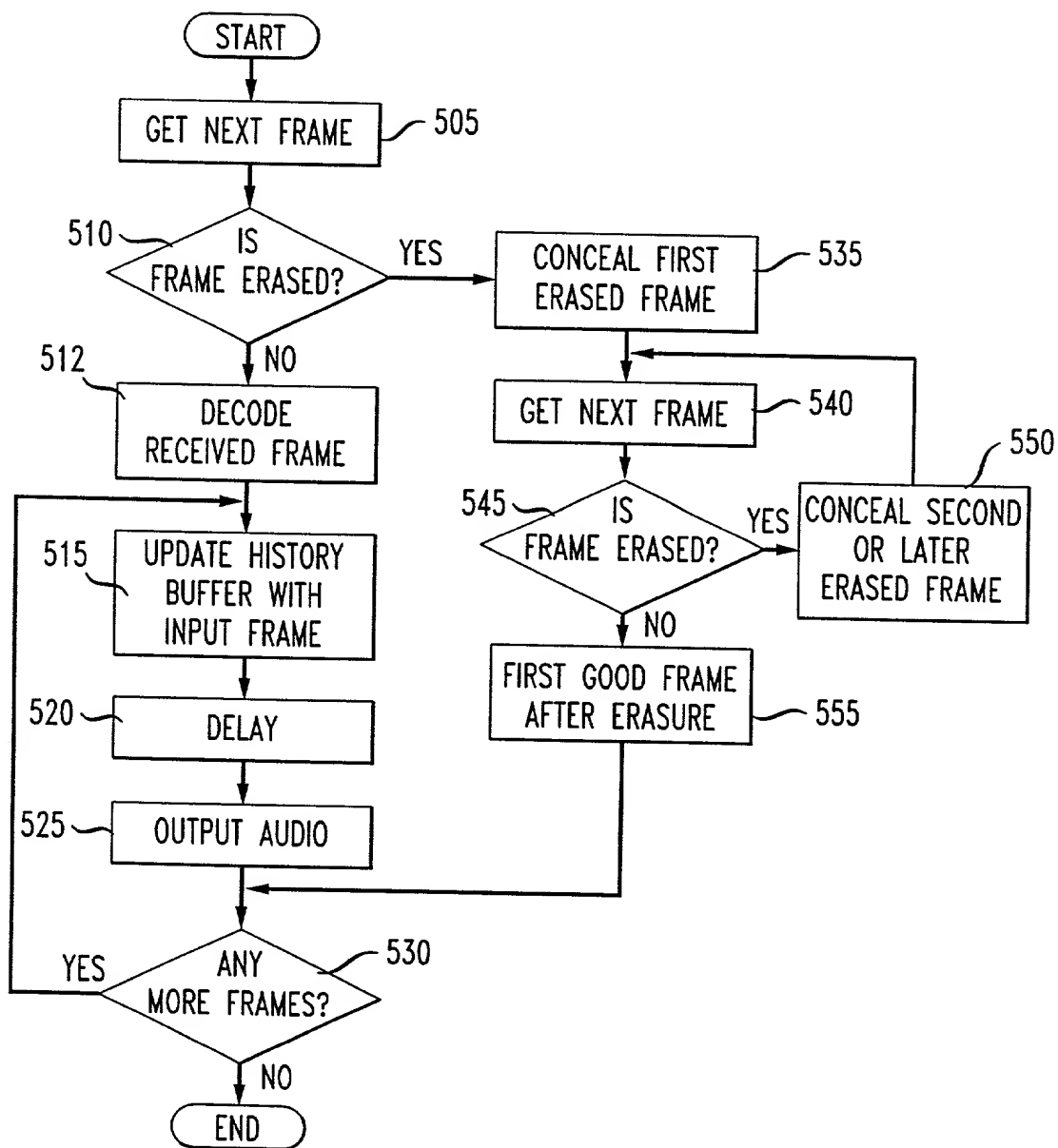


FIG. 4



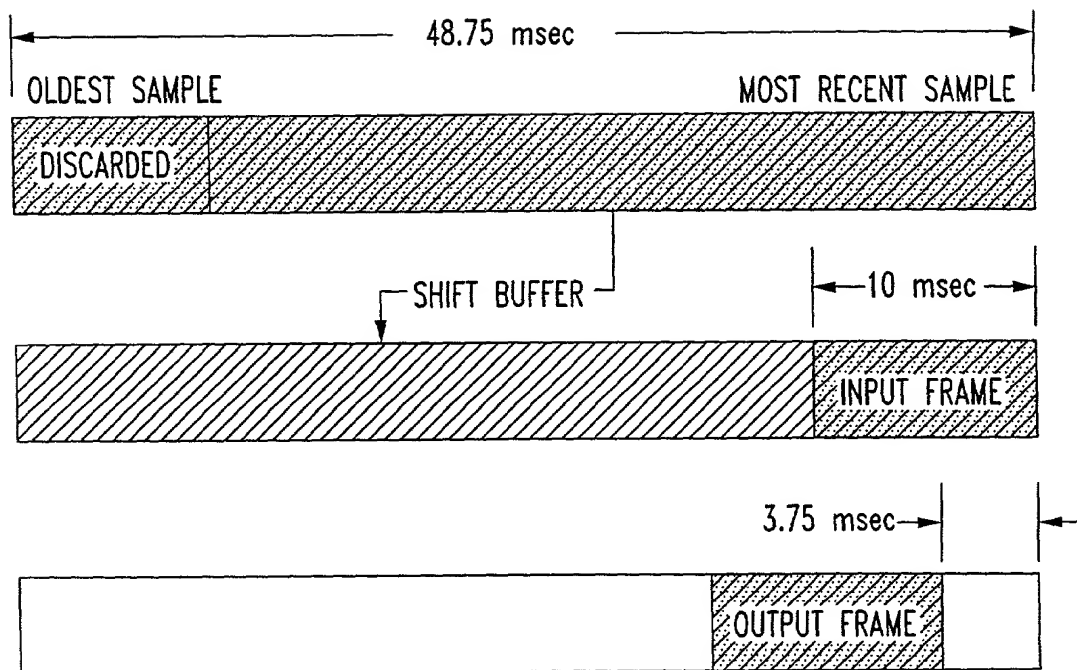
4/14

FIG. 5



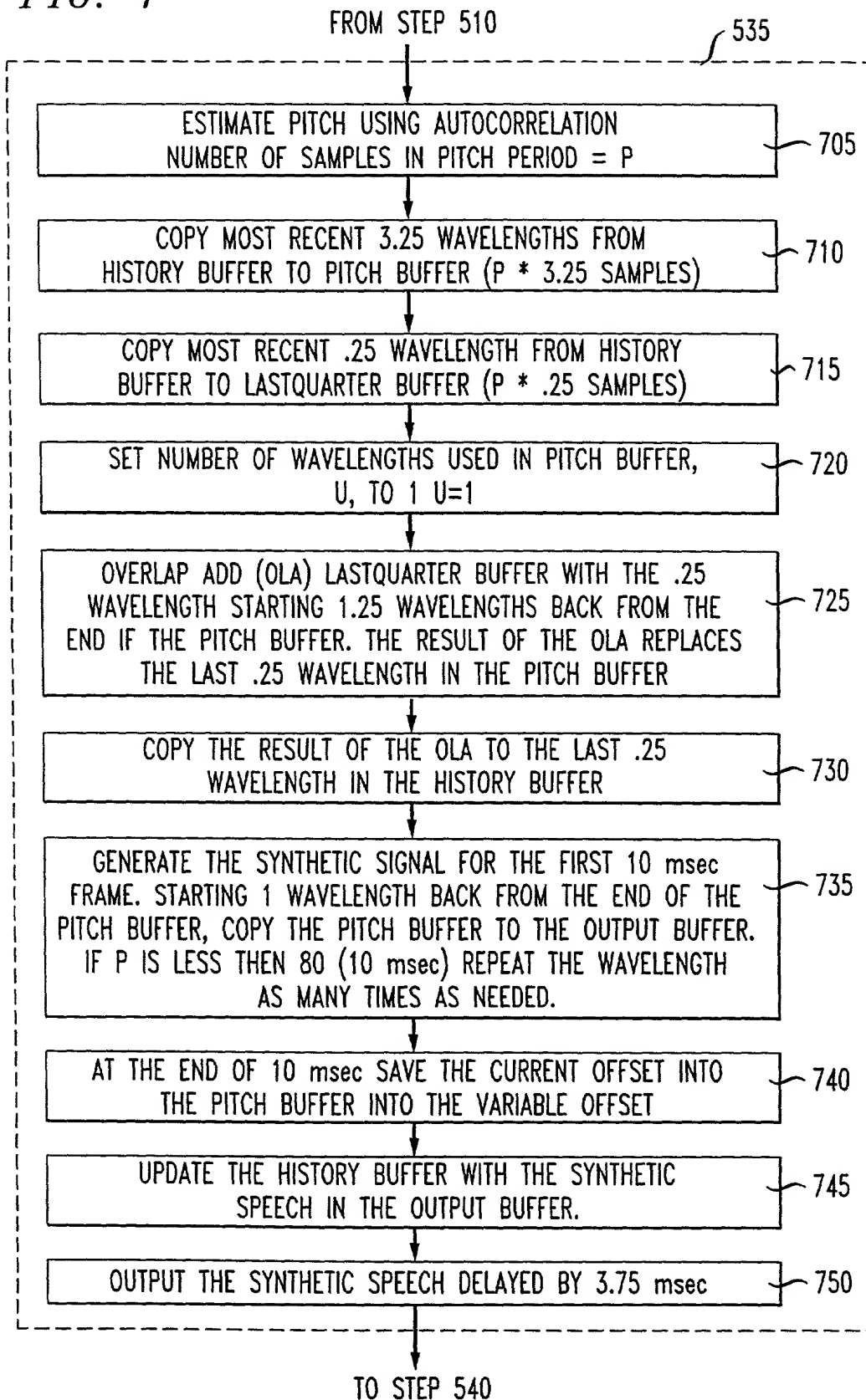
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FIG. 6



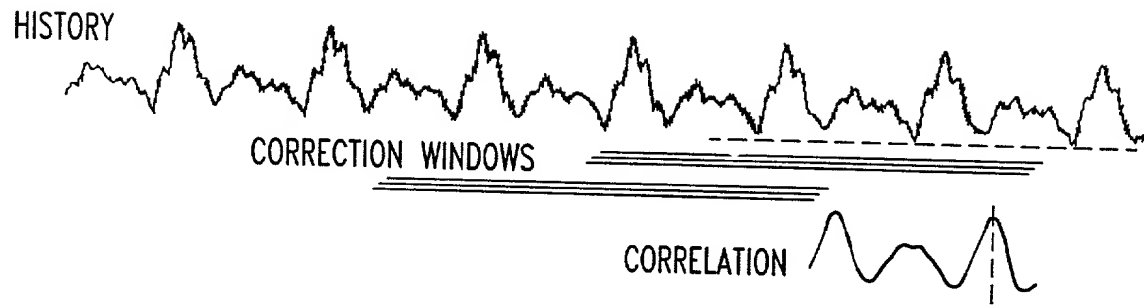
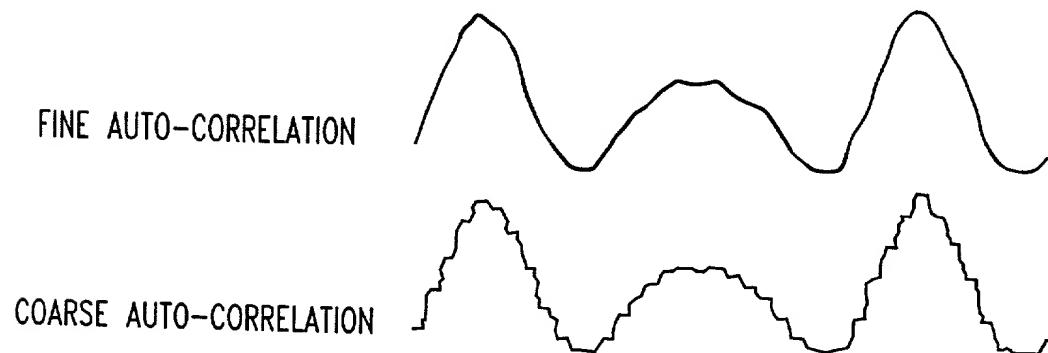
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FIG. 7



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7/14

*FIG. 8**FIG. 9*

8/14

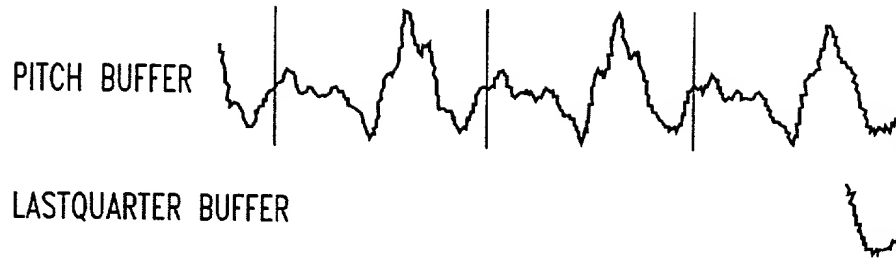
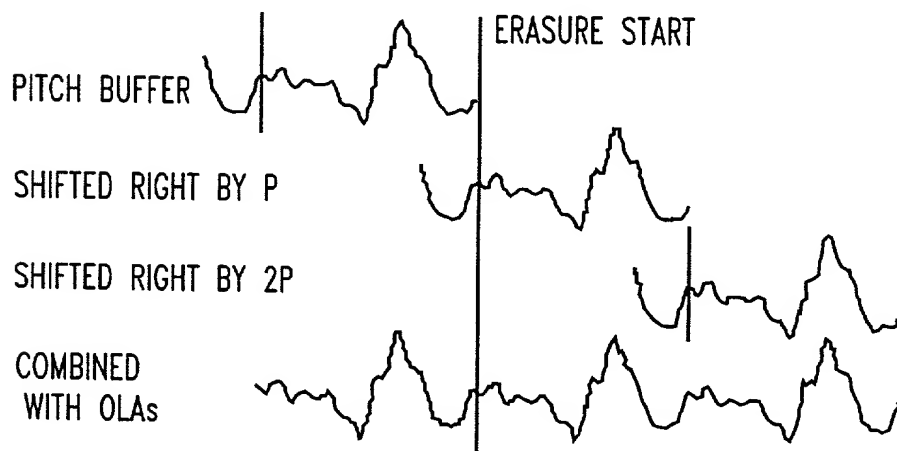
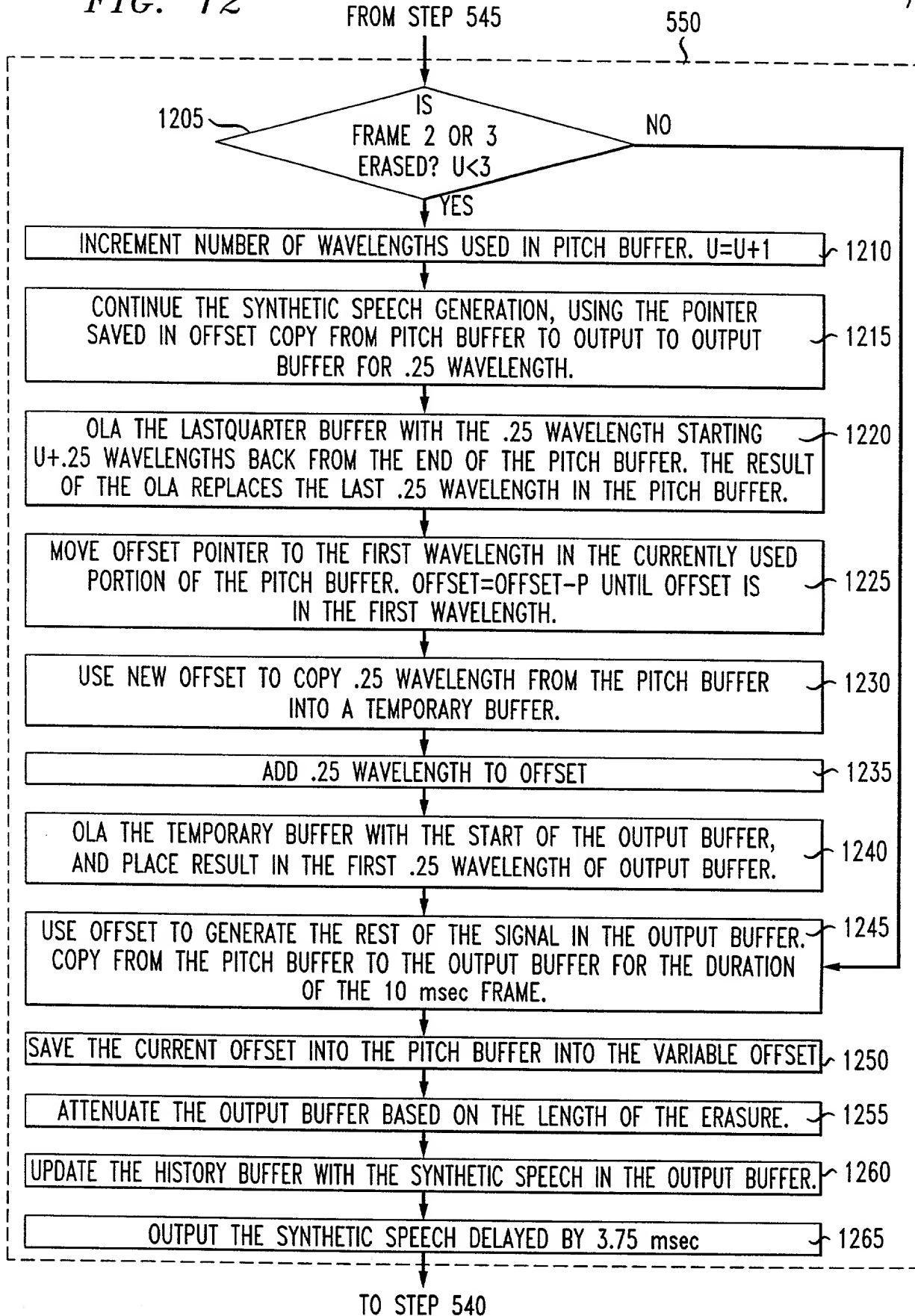
*FIG. 10**FIG. 11*

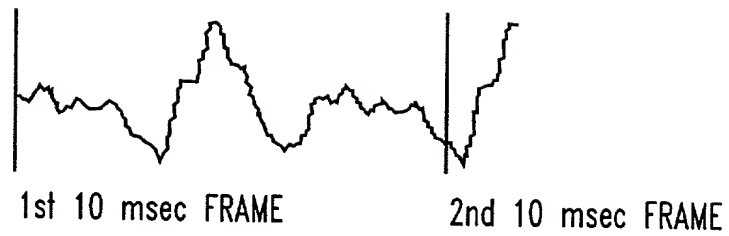
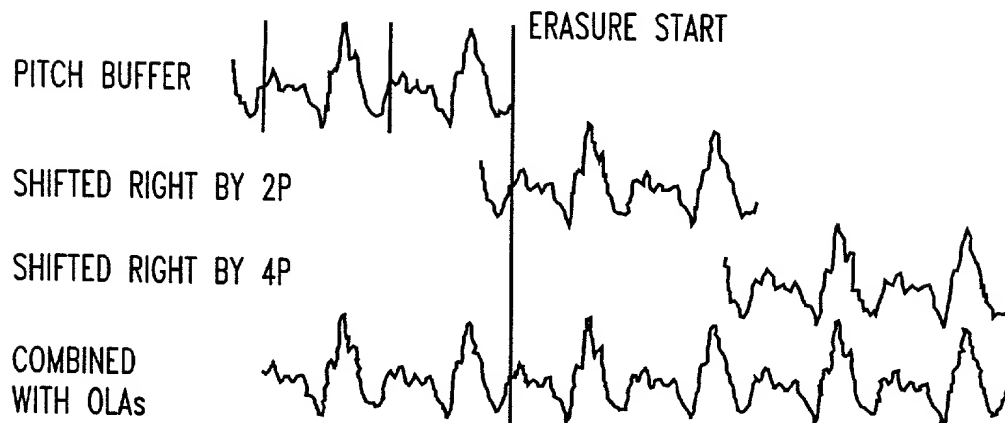
FIG. 12



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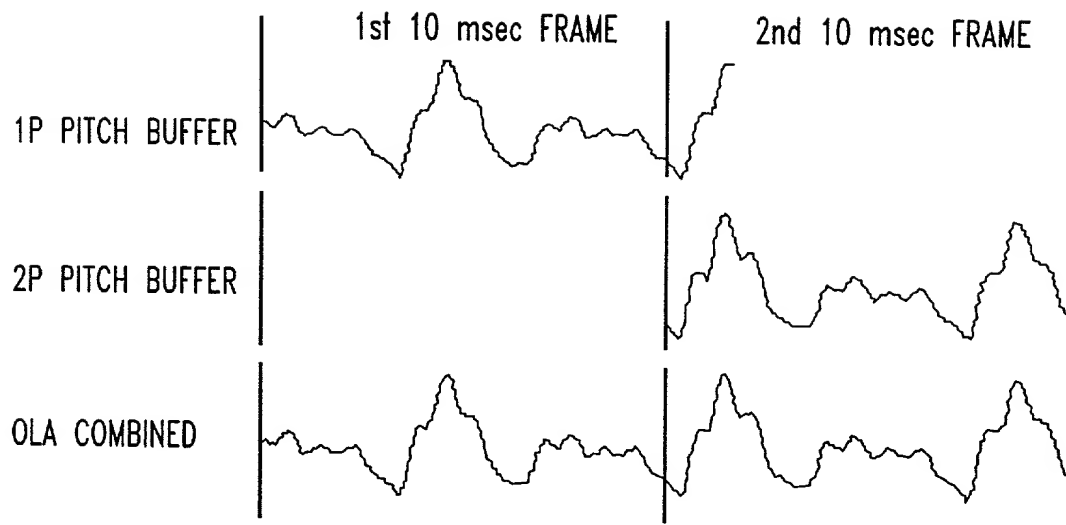


10/14

*FIG. 13**FIG. 14*

11/14

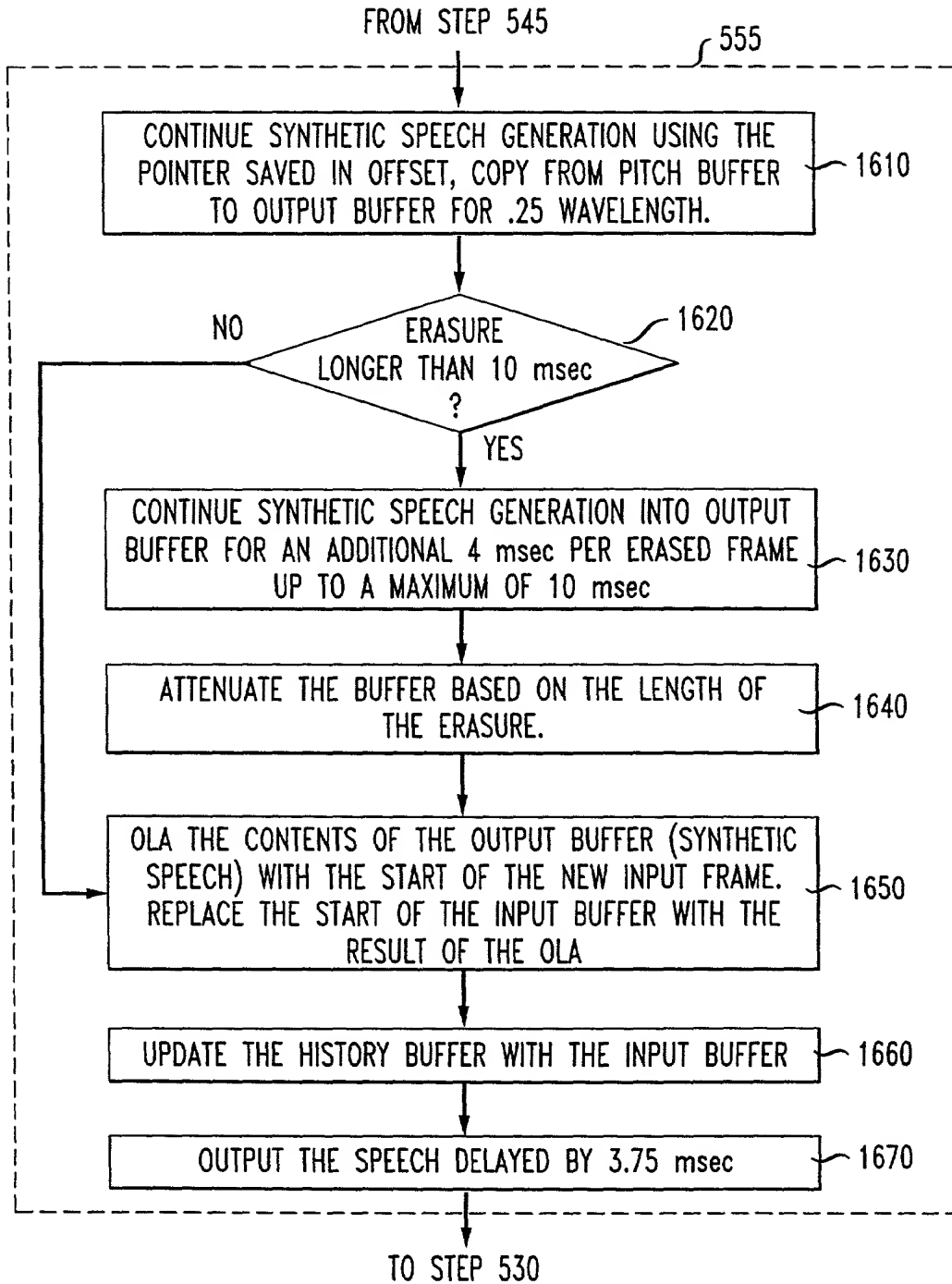
FIG. 15



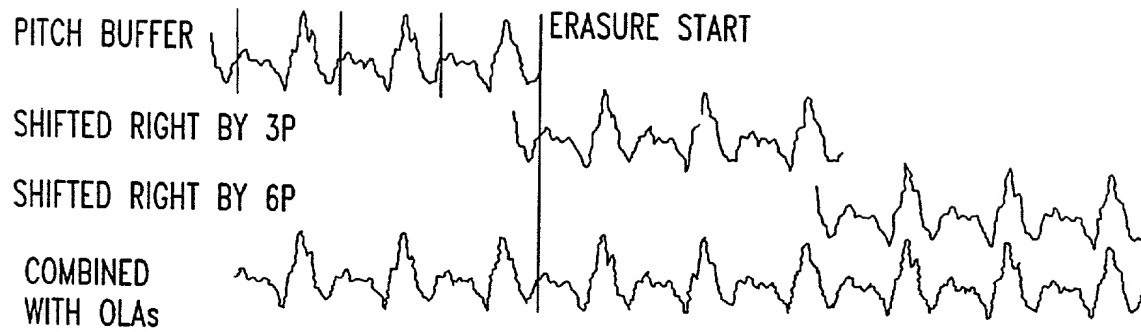
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12/14

FIG. 16

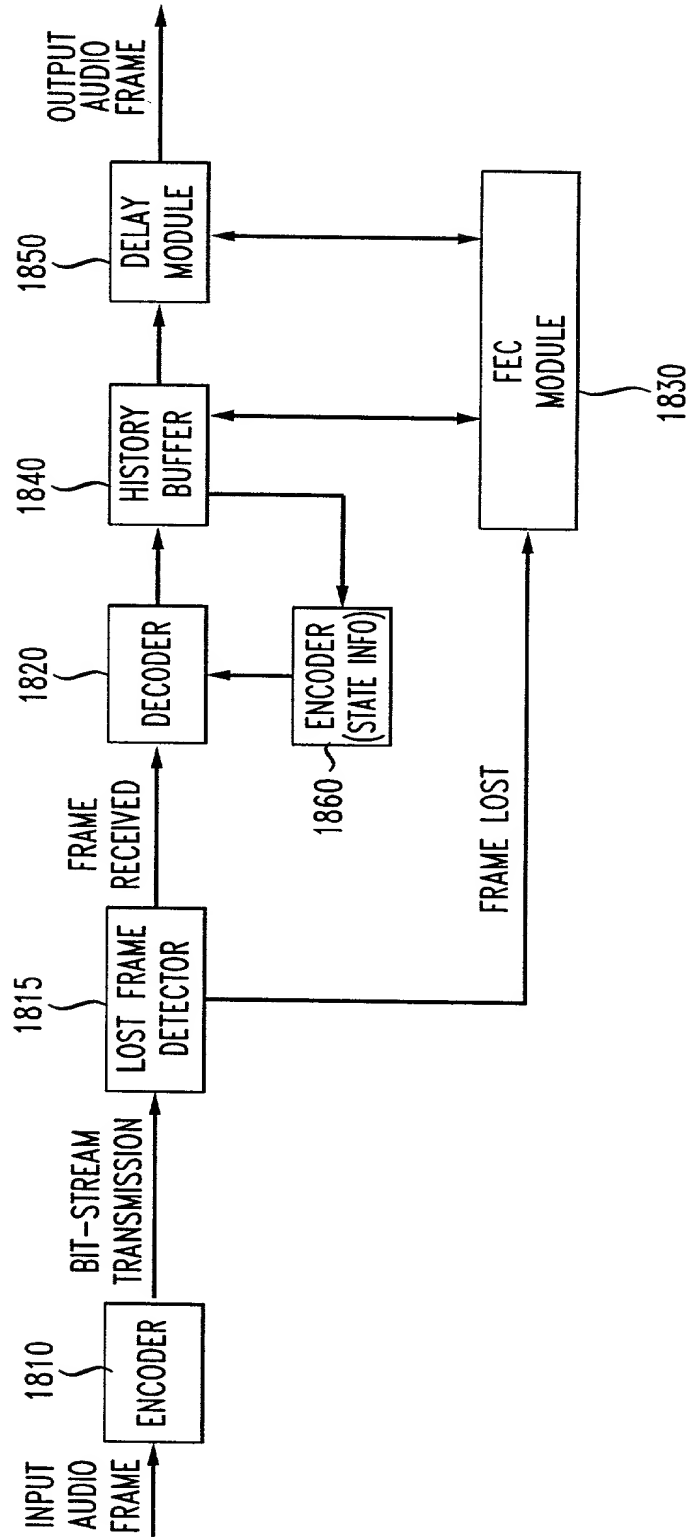


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*FIG. 17*

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FIG. 18



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PTO/SB/01 (10-00)

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# DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)

☒ Declaration Submitted with Initial Filing OR ☐ Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)

Attorney Docket Number 1999-0096 C  
First Named Inventor David A. Kapilow

## COMPLETE IF KNOWN

Application Number

Filing Date

Group Art Unit

Examiner Name

As a below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**Method And Apparatus For Performing Packet Loss Or Frame Erasure Concealment**

(Title of the Invention)

the specification of which

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY) 19/04/2000 as United States Application Number or PCT International PCT/US00/10576

Application Number PCT/US00/10576 and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
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60/130016	19/04/1999	

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NAME OF SOLE OR FIRST INVENTOR :

☐ A petition has been filed for this unsigned inventorGiven Name  
(first and middle [if any]) David A.Family Name  
or Surname KapilowInventor's  
Signature David A. KapilowDate 11/8/2000Residence: City 122 Washington Street  
Berkeley Heights NJState NJCountry USACitizenship USA

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NAME OF SECOND INVENTOR:

☐ A petition has been filed for this unsigned inventorGiven Name  
(first and middle [if any])Family Name  
or SurnameInventor's  
Signature

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Residence: City

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